

Electronic Acknowledgement Receipt

EFS ID:	8865931
Application Number:	10001921
International Application Number:	
Confirmation Number:	8945
Title of Invention:	Method and apparatus for trading bonds
First Named Inventor/Applicant Name:	Murray L. Finebaum
Customer Number:	42532
Filer:	Patrick J. Niedermeier
Filer Authorized By:	
Attorney Docket Number:	MAX-002
Receipt Date:	18-NOV-2010
Filing Date:	15-NOV-2001
Time Stamp:	15:47:15
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1290
RAM confirmation Number	1704
Deposit Account	503081
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		MAX002_Amendment_and_Response_to_Office_Action.pdf	1838065 cd06da2510ba84ad2273198f96cb1818edde3541	yes	28
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Miscellaneous Incoming Letter		1	1	
	Extension of Time		2	2	
	Amendment/Req. Reconsideration-After Non-Final Reject		3	3	
	Amendment Copy Claims/Response to Suggested Claims		4	15	
	Applicant Arguments/Remarks Made in an Amendment		16	28	
Warnings:					
Information:					
2		MAX002_Supplemental_IDS.pdf	245442 d48dfa0446dfc99b2a9f0ec58f43c799457d9dc6	yes	4
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Transmittal Letter		1	2	
	Information Disclosure Statement (IDS) Filed (SB/08)		3	4	
Warnings:					
Information:					
3	NPL Documents	MAX002_CA_European_Search_Report_from_Application_No_060017381.pdf	205658 e54c09e0c369c6b7c8f84e8b7db18a8022ada2a3	no	3
Warnings:					
Information:					
4	NPL Documents	MAX002_CB_International_Search_Report_from_Application_No_PCTUS0149290.pdf	462602 8fb57b96f30672f90be320a9a8f54d4fa21d97d2	no	4
Warnings:					

Information:					
5	NPL Documents	MAX002_CC_International_Search_Report_from_Application_No_PCTUS0422424.pdf	938312 e099c1cf26dede3003a58337a5a7f2660dc805d3	no	10
Warnings:					
Information:					
6	Fee Worksheet (PTO-875)	fee-info.pdf	32187 119eb73e85a4d3d703513b61f002724c8c0b77e4	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			3722266		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					